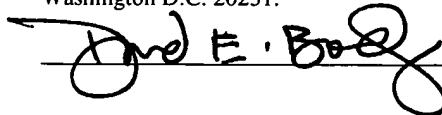


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 2784
Examiner: unassigned
Serial No.: 09/348,317
Filed: July 7, 1999
Applicant(s): John S. Yates Jr., et al.
Title: RECORDING CLASSIFICATION OF INSTRUCTIONS EXECUTED BY A
COMPUTER

I certify that this correspondence, along with any documents referred to therein, is being deposited with the United States Postal Service on October 29, 2002 as First Class Mail in an envelope with sufficient postage addressed to The Commissioner for Patents, Box Non-Fee Amendment, Washington D.C. 20231.



INFORMATION DISCLOSURE STATEMENT

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

In accordance with 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant wishes to make of record the enclosed items, as listed on the accompanying Form PTO-1449. Applicant respectfully requests the Examiner to fully consider the items and independently ascertain their teaching before issuance of the next action, and to make them of record in the file. The Examiner is also requested to initial and return a copy of the enclosed Form PTO-1449 to evidence such consideration.

1. Applicant has listed publication dates on the attached Form PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated. Applicant reserves the right to establish the patentability of the claims over any information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This Information Disclosure Statement should not be construed as a representation that information more material to the examination of this application does not exist.

2. The Form 1449 filed herewith is cumulative of Forms 1449 filed in December 1999 and December 2000. The Examiner may disregard the earlier Forms 1449. It is hoped that having all references listed on one 1449 will be a convenience for the Examiner. If it in fact raises an inconvenience, Applicant apologizes for lack of knowledge of Office procedure.

3. Of the references listed on the enclosed Form 1449, the following are believed to be the most pertinent:

- Intel Corporation, Intel Architecture Software Developer's Manual, *passim* (1997)

The most pertinent portion of the Baxter '602 patent is believed to be Fig. 103, Fig. 110, col. 212, and cols. 345-358, though even that portion of Baxter '602 is only slightly pertinent. The Examiner is requested to independently ascertain the teaching and evaluate the relevance of each item cited on the Form 1449.

4. Copies of the items listed on the enclosed Form PTO-1449 that are not enclosed with this Information Disclosure Statement or earlier filed in this application were previously cited by or submitted to the Patent and Trademark Office in application Serial No. 09/239,194, filed January 28, 1999, Yates et al., Executing Programs for a First Computer Architecture on a Computer of a Second Architecture, or in application Serial No. 09/322,443, filed May 28, 1999, Reese et al., Profiling of Computer Programs Executing in Virtual Memory Systems.

5. This application is one of a group of applications having similar disclosures. The Examiner is requested to be aware of these other applications, both with respect to potential double patenting issues and with respect to prior art that may be discovered in other applications.

Serial No.	Filing Date	Title
09/239,194	1/28/1999	Executing Programs for a First Computer Architecture on a Computer of a Second Architecture
09/322,443	5/28/1999	Profiling of Computer Programs Executing in Virtual Memory Systems
09/330,852	6/11/1999	Profiling Ranges of Execution of a Computer Program
09/332,263	6/11/1999	Profiling Program Execution By Dense Trace Profiling and Statistical Profiling
09/334,530	6/16/1999	Profiling Execution of Computer Programs
09/339,749	6/24/1999	Profiling Program Execution into Registers of a Computer
09/339,797	6/24/1999	Modifying Program Execution Based on Profiling
09/385,394	8/30/1999	Computer For Executing Two Different Instruction Sets
09/425,401	10/22/1999	Profiling Program Execution to Identify Frequently Executed Portions and to Assist Binary Translation
09/426,989	10/26/1999	Table Look-up For Control of Instruction Execution
09/427,168	10/26/1999	Transferring Execution From One Computer Instruction Stream to Another
09/428,850	10/28/1999	Recording I/O Memory References in Program Execution Profile
09/429,094	10/28/1999	Side Tables Annotating an Instruction Stream
09/429,377	10/28/1999	Improving Computer Execution by Opportunistic Adaptation
09/432,752	11/3/1999	Detecting Invalidation of Translated Object Code when Source Object Code is Modified
09/432,753	11/3/1999	Safety-Net Paradigm for Managing Two Execution Modes
09/434,198	11/4/1999	Detecting Modification to Computer Memory by a DMA Device
09/434,394	11/4/1999	Detecting Reordered Side-Effects
09/626,325	7/26/2000	Computer with Two Operating Systems
09/666,110	9/20/2000	Computer for Execution of Two Instruction Sets
09/667,226	9/21/2000	Exception Mechanism for a Computer
09/672,424	9/28/2000	Complex Instruction Set Computer
09/672,440	9/28/2000	Managing Instruction Side-Effects
09/672,841	9/28/2000	Validation of Memory References

A number of references were made of record in these other applications in Information Disclosure Statements filed in and around December 2000. It is believed that none of the references made of record in these other applications are pertinent to the claims of the current application, except those that are made of record in this application and listed in this Form 1449. Nonetheless, the Examiner is requested to be aware of these additional applications and items made of record therein.

6. Recent commercial efforts in the general field have included the FX!32 project of Digital Equipment Corporation, the Crusoe project of Transmeta Corp., the Merced/Itanium project at Intel and Hewlett-Packard, and projects at NexGen and Exponential Technology, Inc. It is not believed that the capabilities of any of these prior computers relate to the inventions claimed in this application; nonetheless, the examiner's attention is drawn to these machines as possibly relevant prior art.

7. No fee is due for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(b)(3), to the knowledge of the undersigned, before the mailing date of a first Office Action on the merits.

8. The Commissioner is hereby authorized to charge any additional fees that may be required for this Information Disclosure Statement, or credit any overpayment, to Deposit Account 50-0675, Order No. 5231.4-4002.

Respectfully submitted,

SCHULTE ROTH & ZABEL

Dated: October 29, 2002

By: 

David E. Boundy

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